

LEGAL PROCESS, PROFESSIONALISM & ETHICS (LPPE)

FIRST YEAR 2012-13

VOLUME2

FALL 2012

Professor Ayelet Shachar

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FACULTY OF LAW UNIVERSITY OF TORONTO

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LEGAL PROCESS, ETHICS, AND PROFESSIONALISM (LPPE) First Year 2012-2013

PROFESSOR AYELET SHACHAR

Class: Monday, 2:10-3:25; Wednesday, 2:00-3:25 Phone: 416-978-1620; e-mail: <u>ayelet.shachar@utoronto.ca</u> Faculty Assistant: Nancy Bueler <u>nancy.bueler@utoronto.ca</u>

This course provides an introduction to legal process, professionalism and ethics, with special emphasis on civil litigation. The adversary system is considered from competing perspectives and alternative models of dispute resolution are compared. Topics covered with respect to civil litigation include standing, intervention, limitation periods, discovery and privilege, multi-party litigation, and class actions. We will also examine the doctrines of territorial jurisdiction, *forum non conveniens*, and choice of law. A recurring focus of the course will be the economics of litigation, access to justice, and the rise of transnational and cross-border litigation. Special emphasis will be placed on addressing the fundamentals of legal process and legal ethics in a broader theoretical context.

The course will consist of three parts. The first part will provide an introduction to the rise of the legal profession, civil litigation, alternative dispute resolution, and procedure matters. The second part will focus on the civil litigation process. In this context, we will analyze the most revolutionary form of litigation to emerge in the last century—class proceedings, which provide a means of addressing certain harms for which conventional litigation is inappropriate. The focus, during all of these discussions, will be on the rationales for the rules, standards, and doctrines that govern legal procedure, in light of the various interests that must be accommodated: the plaintiff's desire to be heard, the defendant's wish to avoid needless litigation, the efforts of non-parties to have their interests represented, the needs of potential future litigants to have the law clearly set out on the basis of accurate information, and the public's demand for an effective and efficient system. Not all of these demands can be accommodated at the same time. We will discuss the rationales for the current system and the potential for reform. The third and final part of the course will highlight the new frontiers of legal process, focusing on the relationship among domestic and international law and justice.

This course will include an intensive week on Legal Ethics and Professionalism. The intensive week will bring together the entire first-year class and esteemed members of the profession to discuss professionalism and various ethical issues. During this week, all other classes will be suspended, except for students' small groups (which will be rescheduled to Monday morning).

Reading Materials

The reading material is assembled in a course packet, *Legal Process, Ethics & Professionalism*, 2 volumes, edited by Professor Shachar (Faculty of Law, University of Toronto, 2012). The course packet is available for purchase at the bookstore in the basement of the Law School. Additional copies will be placed on short-term reserve at the Bora Laskin Law Library and are available on Blackboard.

Evaluation

Attendance and class participation (which will include a short commentary paper of up to 1000 words to be submitted once during the term) (10%) and a two-hour open-book final examination (90%), which may include an essay to be completed in advance and turned in with the final exam.

1. INTRODUCTION TO THE COMMON LAW SYSTEM AND CIVIL JUSTICE

1.1 The Rise of the Legal Profession

Rosalie Silberman Abella, "The Law Society of Upper Canada: Professionalism Revisited," October 14, 1999
Beverly McLachlin, "Forward" in <i>Middle Income Access to Justice</i> , Michael Trebilcock et al., eds. (2012), ix-x
"Supreme Court lets \$19B cellphone lawsuit proceed," CBC News, July 28, 20129
1.2 <u>Civil Litigation and Process Matters</u>
Oscar Chase, Law, Culture and Ritual: Disputing Systems in Cross-Cultural Context (NYU Press, 2005), 1-2
Kenneth Scott, "Two Models of the Civil Process," 27 Stanford Law Review 937 (1975)12
"Considerations before Commencing Litigation" in <i>The Civil Litigation Process</i> , Janet Walker et. al. eds., 7 th Ed. (Emond Montgomery, 2010), 71-72

Neil Brooks, "The Judge and the Adversary System," in <i>The Canadian Judiciary</i> , Allen M. Linden ed. (Osgoode Hall Law School, York University, 1976)
Cass R. Sunstein and Edna Ullmann-Margalit, "Second-Order Decisions," in <i>Behavioral Law & Economics</i> , ed. Cass R. Sunstein (Cambridge University Press, 2000), 187-19021
1.3 Alternative Dispute Resolution Processes I
Harry Arthurs, "More Litigation, More Justice? The Limits of Litigation as a Social Justice Strategy"
Carrie Menkel-Meadow, "The Many Ways of Mediation," 11 Negotiation Journal 217 (1995), 228-230
Ian Morrison and Janet Mosher, "Barriers to Access to Civil Justice for Disadvantaged Groups," in Ontario Law Reform Commission, Rethinking Civil Justice: Research Studies for the Civil Justice Review (1996), 663-67429
Owen M. Fiss, "Against Settlement" (1984) 98 Yale Law Journal 107331
W11 Estate v. Zurich Insurance Co. (2006), 27 CPC (6th), 207 (Ont. CA)34
Chris A. Carr and Michael R. Jencks, "The Privatization of Business and Commercial Dispute Resolution: A Misguided Policy Decision" 88 Kentucky Law Journal (2000)38
1.4 Alternative Dispute Resolution Processes II
Arbitration Act, 1991, S.O. 1991, ch. 17, ss. 6, 17-20
Judy Van Rhijn, "First Steps Taken for Islamic Arbitration Board," Law Times, November 24, 2003
The Canadian Society of Muslims News Bulletin, Darnl-Qada: Beginnings of Muslim Civil Justice System in Canada (April 2003)
Canadian Council of Muslim Women, Position Statement on the Proposed Implementation of Sections of Muslim Law [Sharia] in Canada (May 2004)53
Marion Boyd, "Religiously-Based Alternative Dispute Resolution: A Challenge to Multiculturalism," Canadian Diversity: Religious Pluralism, International Approaches60
Ministry of the Attorney General, "Backgrounder: The Family Statute Law Amendment Act" (2005)

Family Arbitration, Ontario Regulation, 134/07 (2008)66
1.5 <u>Legal Formalism and Alternative Jurisprudential Conceptions</u>
Lon L. Fuller, "The Case of the Speluncean Explorers," 112 Harvard Law Review (1999, originally published in 1949)
"The Far Side" (Far Works Inc., 1981)96
Patricia Williams, The Alchemy of Race and Rights (Harvard University Press, 1991), 146-148
1.6 The Ethics of Lawyering
"The Ethics of Lawyering: Introduction" in <i>The Civil Litigation Process</i> , Janet Walker et. al. eds., 7 th Ed. (Emond Montgomery, 2010), 182-18399
Rob Atkinson, "How the Butler was Made To Do It: The Perverted Professionalism of the Remains of the Day" 105 Yale Law Journal 177 (1995), 181-194100
Charles Fried, "The Lawyer as Friend: The Moral Foundations of Lawyer-Client Relations" in <i>The Civil Litigation Process</i> , Watson et al., 5 th Edition (Emond Montgomery, 1999)
Duncan Kennedy, "The Responsibility of Lawyers for the Justice of Their Causes" in <i>The Civil Litigation Process</i> , Watson et. al., 5 th Edition (Emond Montgomery, 1999)110
2. THE LITIGATION PROCESS AND THE RULES OF CIVIL PROCUEDRE
2.1 Who Can Litigate? Standing; Intervention; Amici Curiae
"Standing" and "Intervention" in Walker and Sossin, Civil Litigation (Irwin, 2010)113
Canadian Council of Churches [1992] 1 S.C.R. 236.
Canadian Civil Liberties Association v. Canada (A.G.) [1998] O.J. No. 2856 (O.C.A)123
Benjamin Alarie & Andrew J. Green, "Interventions at the Supreme Court of Canada: Accuracy, Affiliation and Acceptance" (2010) 48 Osgoode Hall Law Journal 381137

2.2 Where to Litigate? Jurisdiction and Territoriality

Morgnard Investments Ltd. v. De Savoye [1990] 3 S.C.R. 1077
"Territorial Considerations Affecting the Court's Authority" in <i>The Civil Litigation Process</i> , Janet Walker et. al. eds., 7th Ed. (Emond Montgomery, 2010), 243-248153
Andrea Brighenti, "On Territoriality as Relationship and Law as Territory," 21 Canadian Journal of Law and Society 65 (2006)
Bill Miller and Christine Haughney, "Old Law Creates Forum for War-Crimes Trials," <i>The Toronto Star</i> , August 13, 2000
Colin Kearney, "Case Comment: International Human Rights- Corporate Liability Claims not Actionable under the Alien Tort Statute- <i>Kiobel v Royal Dutch Petroleum Co.</i> 621 F.3D 111 (2nd Cir)" (2011) 34 <i>Suffolk Transnational Law Review</i> 263
Trevor C. W. Farrow, "Globalization, International Human Rights, and Civil Procedure" 41 Alberta Law Review 671 (2003)
2.3 When to Litigate? Limitation Periods; Restorative Justice Dilemmas
Consumers Glass v. Foundation Co. of Canada Ltd. (1985), 51 O.R. (2d) 385 (O.C.A)178
Limitations Act, 2002, S.O. 2002, c. 24, Schedule B
Novak v. Bond [1999] 1 S.C.R. 808
Kim Stanton, "Canada's Truth and Reconciliation Commission: Settling the Past?" (2011) The International Indigenous Policy Journal Vol 2. Issue 3 Art 2
2.4 Complex Litigation and Class Proceedings
Stephen Ellman, "Client-Centredness Multiplied" in <i>The Civil Litigation Process</i> , Watson et. al., 5 th Edition (Emond Montgomery, 1999)
Samuel Issacharoff, "Class Actions and State Authority" (2012) NYU School of Law: Public Law & Legal Theory Research Paper Series. Working Paper No. 12-23
Rules of Civil Procedure, R.R.O. 1990, Reg. 194, as amended, r.12

Class Proceedings Act, 1992, S.O. 1992, c. 6
Kirk Makin, "Class-action Suits Explode into 'National Phenomenon'" The Globe and Mail, July 19, 2003
"Certification" in <i>The Civil Litigation Process</i> , Janet Walker et. al. eds., 7 th Ed. (Emond Montgomery, 2010), 873-874
"Legal Notice of Certificate and Approval of Class Action Settlement" in the Ontario Court of Justice (Court File No. 02-6556-CP)
U.S. District Court Settlement Administrator, "Notice of Class Action Settlement: Refund Option" (2007)
Hollick v. Toronto [2001] 3 S.C.R. 158
Patrick Hayes, "Exploring the Viability of Class Actions Arising from Environmental Toxic Torts: Overcoming Barriers to Certification" (2009) 19 Journal of Environmental Law and Practice 189
Cloud v. Canada (Attorney General) (2004), 247 DLR (4th) 667 (OCA)
Janet Walker, "Coordinating Multijurisdiction Class Actions Through Existing Certification Processes", 41 CBLJ 112 (2005)
Deborah Glendinning and Meredith Gardiner, "International Class Action: Fact or Fiction?" The Lawyers Weekly, July 7, 2002
2.5 Discovery; Privilege and Confidentiality
Rules of Civil Procedure, R.R.O. 1990, Reg. 194, as amended, r.30
Jonathan Harr, A Civil Action (Vintage Books, 1995), 150-193
Grossman v. Toronto General Hospital (1983), 146 D.L.R. (3d) 280 (Ont. Gen. Div.)305
Ontario (Ministry of Environment) v. McCartlny Terault (1992) 9 CELR (NS) 12 (Ont. Prov. Div.)
Gavin MacKenzie, "Is Keeping Secrets in Client's Best Interest?" Law Times, Feb. 28, 2000311

Walker et. al. eds., 7th Ed. (Emond Montgomery, 2010), 859-862
2.6 Financing Litigation and Access to Justice
Kirk Makin, "Access to Justice Becoming a Privilege of the Rich, Judge Warns" <i>Globe and Mail</i> , Feb. 10, 2011
Roderick A. MacDonald, "Access to Justice in Canada Today: Scope, Scale, and Ambitions"
McIntyre Estate v. Ontario (Attorney General) (2002) 61 O.R. (3d) 257 (O.C.A)325
Poonam Puri, "Financing of Litigation by Third-Party Investors: A Share of Justice?" 36 Osgoode Hall Law Journal 515 (1998)
Cassandra Burke Robertson, "The Impact of Third-Party Financing on Transnational Litigation" (2011) Case Research Paper Series in Legal Studies, Working Paper 2011-32
Ministry of the Attorney General, "Ontario Government Improves Access to Justice," June 30, 2004
Solicitors Act, "Contingency Fee Agreements," R.S.O. 1990, c. S. 15349
Ministry of the Attorney General, "McGuinty Government Improves Access to the Justice System," October 19, 2006
Russel Engler, "Opportunities and Challenges: Non-Lawyer Forms of Assistance in Providing Access to Justice for Middle Income Earners" in <i>Middle Income Access to Justice</i> (2012), 145-154; 169-172
3. THE NEW FRONTIERS OF LEGAL PROCESS: REGIONAL, TRANSNATIONAL AND INTERNATIONAL LAW AND JUSTICE
3.1 Expanding Litigation's Territory
Margaret McCaffery, "Canadian Lawyers at an Advantage in Overseas Firms," Financial Post, Feb. 6, 2008
Anne-Marie Slaughter, "Judges: Constructing a Global Legal System," in <i>A New World Order</i> (Princeton University Press, 2004), 65-103

Adam Liptak, "'We the People' Loses Appeal with People Around the World," New York Times, Feb. 6, 2012
3.2 <u>Supranational Institutions and International Law Norms: "Supreme" to the Supreme Court of Canada?</u>
Lovelace v. Canada UN GOAR, 36th Sess., Supp. No. 40, UN Doc. A/36/40 (1981)388
Baker v. Canada [1999] 2 S.C.R. 817
POSTSCRIPT
Jessica Leeder, "A Breakfast Date at the Courthouse," Toronto Star, August 4, 2003392